

06/27/01

3583 U.S. PTO

06-28-01

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket N. 09792909-5087

First Named Inventor or Application Identifier

Masatsugu Suwabe

Express Mail Label No: EL281568832US

1100b U.S. PTO  
09/893248

06/27/01

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification Total Pages 34  
2. ☒ Drawing(s) (35USC 113) Total Pages 3  
3. ☒ Declaration and Power of Attorney Total Pages 2  
a. ☐ Unexecuted(original or copy)  
b. ☐ Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14 completed)  
[Note Box 4 Below]  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting Inventor(s) named in the prior application see 37 CFR 1.63(d)(2) and 1.33(b).  
☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

## ACCOMPANYING APPLICATION PARTS

5. ☒ Assignment Papers (cover sheet & documentation)  
SONY CORPORATION  
6. ☐ Letter under 37 CFR 1.41(c).  
7. ☐ English Translation Document (if applicable)  
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations  
9. ☐ Preliminary Amendment  
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)  
11. ☐ Small Entity ☐ Statement filed in prior Application, Status still proper and desired  
12. ☒ Copy of Priority Japanese Patent Application No: P2000-202682, filed July 4, 2000.

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional Continuation-in-part (CIP) ☐ of prior application No: \_\_\_\_\_

## CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00
TOTAL CLAIMS 20	8	0	\$18	\$0
INDEPENDENT CLAIMS 03	6	3	\$80	\$240
ANY MULTIPLE DEPENDENT CLAIMS?				\$0
<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO				
TOTAL FEE				\$950

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$950 to cover the filing fee is enclosed.

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(No. 32,919)

DATE: June 27, 2001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Masatsugu Suwabe DOCKET NO. 09792909-5087

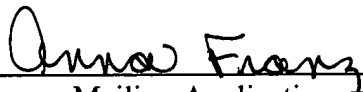
SERIAL NO.

DATE FILED:

INVENTION: "MAGNETO-OPTICAL RECORDING MEDIUM AND PROCESS FOR  
MANUFACTURING A MAGNETO-OPTICAL RECORDING  
MEDIUM"**EXPRESS MAIL CERTIFICATE OF MAILING**Express Mail" Mailing Label Number EL281568832USDate of Deposit June 27, 2001

I hereby certify that the following items are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" Service Under 37 CFR 1.10 on the date indicated above and is addressed to The Assistant Commissioner of Patents and Trademarks, Box Patent, Washington, D.C. 20231:

1. Utility Patent Application Transmittal
2. Filing Fee \$950
3. Specification (34 Pages)
4. Drawings (3 Sheets)
5. Copy of Priority Japanese Patent Application No: P2000-202682
6. Declaration and Power of Attorney (Executed)
7. Recordation Form
8. Assignment
9. Assignment Filing Fee \$40
10. Postcard
11. Express Certificate of Mailing No: EL28156832US

  
\_\_\_\_\_  
Signature of Person Mailing Application